



CERTIFICATE OF REGISTRATION

Issued to:

TCI Wealth Advisors, Inc.

4011 E. Sunrise Drive
Tucson, AZ 85718
United States

6929 Greenway Parkway, Suite 150
Scottsdale, AZ 85254

4757 Caughlin Parkway, Suite B
Reno, NV 89519

150 W. Dale Avenue, Suite 1
Flagstaff, AZ 86001

1401 17th Street, Suite 330
Denver, CO 80202

which has demonstrated its conformity to all Fiduciary Practices for:

Investment Advisor Certification

for the following Program:

**Independent, fee-only wealth advisory and retirement plan services firm
partnering with clients on their journey toward financial clarity,
prosperity, and overall well-being**

Date of Current Registration: 2021.11.28
Date of Initial Registration: 2016.11.28
CEFEX File: CFX10441



On behalf of the CEFEX Registration Committee

David Vriesenga
Chief Rating Officer



Registered by CEFEX, Centre for Fiduciary Excellence, part of Broadridge Fi360 Solutions

This certificate has been awarded following the successful completion of an assessment to the standard shown above. It may not address all the products/services provided by the firm. The terms and conditions governing the issuance of this certificate are contained in the agreement between CEFEX and the firm. This certificate is the property of CEFEX, is valid for 1 year from the Date of Current Registration shown above and can be renewed annually.